

Docket No. WC-01-11-02.00

#### CERTIFICATE OF MAIL DEPOSITED

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Signature

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Akira MASHIMO

Examiner:

RECEIVED

Appl. No.:

10/082,128

Group:

MAY 2 1 2004

Filed:

February 26, 2002

**Technology Center 2600** 

For: **OPTICAL DISK DEVICE** 

### INFORMATION DISCLOSURE STATEMENT

## **UNDER 37 C.F.R. § 1.56**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The Examiner is respectfully requested to consider the references noted on the enclosed Form PTO-1449 during examination of the above-identified patent application. These references are submitted for the Examiner's consideration and are submitted pursuant to the Applicant's duty of disclosure under 37 C.F.R. § 1.56. Copies of the references are provided.

The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; with three months of the date of entry of the national stage as set fort in 37 C.F.R. § 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last. Pursuant to 37 C.F.R. § 1.97, no fees are due with respect to this filing.

In the event that the transmittal letter is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief is required, Applicant petitions for any required relief including extensions of time.

Respectfully submitted,

Dated:

May 19, 2004

By:

Richard H. Lilley, Jr. Registration No. 42,803

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PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

Sheet 1

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/082,128		
Filing Date	2/26/2002		
First Named Inventor	Akira Mashimo		
Art Unit			
Examiner Name			
Attorney Docket Number	WC-01-11-02 00		

			U. S. PATENT D	OCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
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No.¹	Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T6
	JP 09-305976 A	11/28/1997	Sharp Corp.	Abstract	~
	JP 2001-110056 A	04/20/2001	Yamaha Corp.	Abstract	V
	JP 2001-1134943 A	05/18/2001	Yamaha Corp	Abstract	~
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-		JP 09-305976 A JP 2001-110056 A	Country Code <sup>3</sup> "Number <sup>4</sup> " Kind Code <sup>5</sup> (if known)	Country Code <sup>3</sup> Number <sup>1</sup> -Kind Code <sup>5</sup> (If Known)	Country Code <sup>3</sup> "Number <sup>4</sup> " Kind Code <sup>5</sup> (# known)

Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Tradernark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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